



GOVERNMENT OF SAINT LUCIA

**NEW**

**APPLICATION FOR TRADE LICENCE UNDER  
THE TRADE LICENCE ACT- CAP 13.04  
Of  
THE REVISED LAWS OF SAINT LUCIA**

**Note**

- a) Application should be forwarded to the:
  - Secretary Trade Licence Advisory Board
  - Department of Commerce, International Trade, Investment,  
Enterprise Development and Consumer Affairs
  - 4<sup>th</sup> Floor, Heraldine Rock Building
  - Waterfront
  - Castries*
- b) Only non national companies and non-Caricom citizens need apply.
- c) All currency must be quoted in **East Caribbean Dollars**.
- d) Answers to all questions must be complete and accurate.
- e) Application forms which are not completed will not be processed.
- f) Every License shall be an annual license and shall expire on 31 December in the year in which that licence was granted and may be renewed thereafter.
- g) All supporting documents and application must be written in English.
- h) The following documents **MUST** be attached:
  - i. Letter of reference from applicant's Bank (s)
  - ii. Certificate of Character of major shareholders (Local and Overseas)
  - iii. Concept note of business activities (1-2 pages).
  - iv. Bio page of passport of shareholders and/or directors
  - v. Applicant must be in compliance with all relevant local legislation

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1. **Name of Individual or Company** \_\_\_\_\_  
(Block Capital Letters)

2. (a) **Intended Business Address**

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(b) **Mailing Address**

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

(c) **Telephone #** \_\_\_\_\_ (d) **Fax #** \_\_\_\_\_

(e) **E-mail Address** \_\_\_\_\_

(f) **Business Type:**  
**Sole Trader** \_\_\_\_\_ **Partnership** \_\_\_\_\_ **Incorporation** \_\_\_\_\_ **Franchise** \_\_\_\_\_  
**Other (specify)** \_\_\_\_\_

3. State names, nationalities, domicile of shareholders and percentage of Share Capital.

<i>Name</i>	<i>Nationality</i>	<i>Domicile</i>	<i>Percentage of Share Capital</i>

4. Provide the following information on each applicant and or each Director of the Company applying for a Trade Licence

<b>Name</b>	<b>Permanent Address</b>	<b>Nationality</b>	<b>Passport No</b>	<b>Date and Place of Issue</b>	<b>Place and Date of Birth</b>	<b>Particulars of current employment and or business experience</b>
i)						
ii						
iii						
iv						

**Note:** Additional information can be supplied on a separate page if necessary.

5. State the amount of Capital to be invested in the enterprise in the 1<sup>st</sup> year.

- (a) **Land** \_\_\_\_\_
- (b) **Building, leasehold improvements** \_\_\_\_\_
  - i) Freehold \_\_\_\_\_
  - ii) Leasehold \_\_\_\_\_
  - iii) Leasehold improvements \_\_\_\_\_
- (c) **Fixed Assets** \_\_\_\_\_
- (d) **Other (specify)** \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_
- Total (EC\$)** \_\_\_\_\_

6. Provide summary of business activities to be carried out: (use additional page(s) if necessary)

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7. **Employment:**

*Number to be  
employed in Licencing year*

- a. Nationals \_\_\_\_\_
- b. Non-Nationals \_\_\_\_\_

8. (a) **Banker's Name and Address:**

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**Authorized Signature of Applicant**

**FOR OFFICIAL USE ONLY**

**Board's Recommendations:**

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**Signature of Chairperson  
Trade Licence Advisory Board**

**Minister's Decision:**

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**Signature of Minister  
Department of Commerce, International  
Trade, Investment, Enterprise  
Development and Consumer Affairs**

## NOTICE

The attention of persons affected by the Trade Licence Act Cap.13.04 is drawn to the requirements of the following Sections of the Act:

**Section 3 (1)** Subject to the provision of this Act, no person shall, without having first obtained a Trade Licence:

- a. sell wares, merchandise, wines, spirits, tobacco or goods of sort in any building or place, or
- b. engage in trade

**trade**” means any operation of a commercial character by which the trader provides to customers for reward some kind of goods or services. (Section 2)

**(2)** Subsection (1) shall not apply:

- a. A CARICOM National
- b. to a company in Saint Lucia that is not under alien control within the meaning of Section 8 of the Aliens (licensing) Act. No. 20 of 2002

**(3)** Subject to provisions of this Act, no person shall, without the approval of the Minister responsible for Commerce

- (2) Sell any of the articles in paragraph (a) of subsection (1) or
- (3) engage in trade on behalf of:

1. a person who is not a CARICOM National; or
- ii a company that is under alien control within the meaning of Section 8 of the Aliens (Licensing) Act. No. 20 of 2002.

**Section 8 (1)** there shall be paid to the Accountant General such a fee as may from time to time be prescribed in respect of each licence issued by the Secretary to the Advisory Board. (Amended by Act 4 of 1997)

(2) An Annual fee of such amount as is set forth in the schedule shall also be paid in respect of each licence by the licensee to the Accountant General.

(3) The fees prescribed may however be reduced or waived in the public interest by the Cabinet in any case.

**Section 9** every person who is required by the Act to obtain a licence shall produce that licence to the Secretary to the Advisory Board or a police officer not below the rank of Inspector, if requested to do so by the Secretary to the Advisory Board or such Police Officer.

i) **Section 10**. Every licence shall be an annual licence and shall expire on 31 December in the year in which that licence was granted and may be renewed thereafter.

**Section 11 (1)** every person / company who holds a licence shall have affixed in some conspicuous place of the licenced premises for or in respect of which the licence is issued, a notice stating that he is the holder of the licence and the number of the licence.

**Section 11 (2)** every person/ company who affixes, causes or allows to be or remain a fixed a false licence notice to any premises is guilty of an offence.